

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: October 14, 2005 Name: Jasper W. Dockrey, Reg. No. 33,868 Signature: Jasper W. Dockrey

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **SATO et al.**

Appln. No.: **09/802,314**

Filed: **March 8, 2001**

For: **THIN-FILM MAGNETIC HEAD  
APPROPRIATELY SUPPRESSING SIDE  
FRINGING AND METHOD FOR  
FABRICATING THE SAME**

Examiner: **T. Chen**

Art Unit: **2652**

Attorney Docket No: **9281/3900**

**N US00052**

Mail Stop Issue Fee  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached are:**

- ☒ Fifth Supplemental Informaiton Disclosure Statement; Fifth Supplemental PTO Form 1449.
- ☒ Return Receipt Postcard.

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

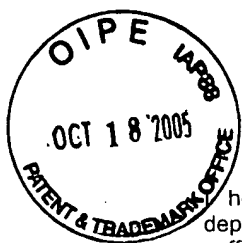
**Fee payment:**

- ☒ A check in the amount of \$180.00 for the filing fee is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_ . A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

October 14, 2005  
Date

Jasper W. Dockrey  
Jasper W. Dockrey (Reg. No. 33,868)



hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop Issue Fee  
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P.O. Box 1450, Alexandria, Virginia 22313-1450  
on October 14, 2005

Date of Deposit

Jasper W. Dockrey, Reg. No. 33,868

Name of applicant, assignee or  
Registered Representative

*Jasper W. Dockrey*  
Signature  
October 14, 2005

Date of Signature

Our Case No. 9281-3900  
Client Reference No. N US00052

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Kiyoshi Sato et al.	)	
	)	Examiner:
Serial No. 09/802,314	)	T. Chen
	)	
Filing Date: March 8, 2001	)	
	)	Group Art Unit:
For: Thin-Film Magnetic Head Appropriately	)	2652
Suppressing Side Fringing And Method For	)	
Fabricating The Same	)	

### FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Pursuant to the obligation under 37 C.F.R. 1.56 and in conformance with 37 C.F.R. 1.97-1.99, Applicants hereby submit references F1-F4 listed on the attached form PTO-1449 for consideration by the Examiner.

In accordance with 37 C.F.R. § 1.97(g),(h), this Fifth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the

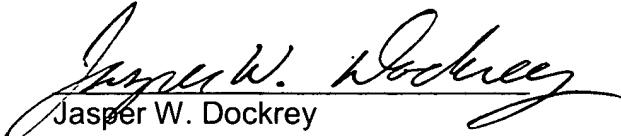
information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The references F1-F4 identified in the attached PTO-1449 have been cited in the Application Serial No. 11/016,949, which is a continuation application of the above-referenced application. Since the issue fee has been paid in the above-referenced application, Applicants are aware that these additional references will not be considered by the Patent Office, but will nevertheless be placed in the file pursuant to 37 CFR § 1.97(i).

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
Jasper W. Dockrey  
Registration No. 33,868  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



FIFTH SUPPLEMENTAL FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	SERIAL NO. 09/802,314	CASE NO. 9281/3900
	FILING DATE March 8, 2001	GROUP ART UNIT 2652
	APPLICANTS: Kiyoshi Sato et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	F1	6,671,133 B1	12/30/2003	Sasaki		
	F2	6,504,676 B1	01/07/2003	Hiner et al.		
	F3	6,404,601 B1	06/11/2002	Rottmayer et al.		
	F4	5,126,907	06/30/1992	Hamakawa et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.